



107162018002299



# SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
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## Company Information

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SEC Registration No. AS94008811  
Company Name PHINMA PETROLEUM AND GEOTHERMAL, INC.  
Industry Classification Oil & Gas Extraction Activities On Contract Basis  
Company Type Stock Corporation

## Document Information

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S.E.C. Registration Number

PHINMA PETROLEUM AND  
 GEOTHERMAL, INC.

(Company's Full Name)

LEVEL 11 - PHINMA PLAZA 39 PLAZA  
 DRIVE, ROCKWELL CENTER MAKATI

(Business Address: No. Street City/Town/Province)

CECILILE ARENILLO

Contact Person

8 7 0 0 3 8 8

Company Telephone Number

1 2      3 1  
 Month    Day  
 Calendar Year

PUBLIC  
 OWNERSHIP  
 FORM TYPE

Month      Day  
 Annual Meeting

Secondary License Type, If Applicable

CFD  
 Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic      Foreign

To be accomplished by SEC Personnel concerned

File Number

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July 11, 2018

**SECURITIES AND EXCHANGE COMMISSION**

Secretariat Building, PICC Complex  
Roxas Boulevard, Pasay City 1307

Attention: **MS. RACHEL ESTHER J. GUMTANG-REMALANTE**  
OIC, Corporate Governance & Finance Department

**THE PHILIPPINE STOCK EXCHANGE, INC.**

6th Floor, Philippine Stock Exchange Tower  
28th Street corner 5th Avenue Bonifacio Global City, Taguig City

Attention: **MS. JANET A. ENCARNACION**  
Head, Disclosure Department

Attached herewith is PHINMA Petroleum and Geothermal, Inc.'s Public Ownership Report as of June 30, 2018.

Thank you.

Very truly yours,

A handwritten signature in black ink, appearing to read 'Cecille B. Arenillo', is written above the printed name.

**CECILLE B. ARENILLO**  
Compliance Officer

**PHINMA Petroleum and Geothermal, Inc.**  
**Public Ownership Report**

Report Date	June 30, 2018
Computation of Public Ownership	
Number of Issued and Outstanding Common Shares	250,000,000
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	250,000,000

**A. Directors**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Ramon R. Del Rosario, Jr.	424,155		424,155	0.17
Magdaleno B. Albarracin, Jr.	53		53	0.00
Francisco L. Viray	214,959		214,959	0.09
Roberto M. Laviña	1		1	0.00
Raymundo A. Reyes, Jr.	111,230		111,230	0.04
Raphael Perpetuo M. Lotilla	1		1	0.00
Victor J. Del Rosario	92,320		92,320	0.04
Edward S. Go	1		1	0.00
Corazon S. de la Paz Bernardo	1		1	0.00
	<b>842,721</b>	<b>-</b>	<b>842,721</b>	<b>0.34</b>

**B. Officers**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Benjamin S. Austria	30,000		30,000	0.01
Mariejo P. Bautista	11,047		11,047	0.01
Pythagoras L. Brion, Jr.	10,201		10,201	0.00
Troy A. Luna	-		-	0.00
Alan T. Ascalon	1,818		1,818	0.00
Cecille B. Arenillo	2,550		2,550	0.00
Arthur R. Villacorte	858		858	0.00
Daneia Isabelle F. Palad	-		-	0.00
	<b>56,474</b>	<b>-</b>	<b>56,474</b>	<b>0.02</b>

**C. Principal/Substantial Stockholders**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
PHINMA Energy Corporation	126,838,677		126,838,677	50.74
Phil. Investment Management (PHINMA), Ir	30,985,111		30,985,111	12.39
Phinma Corporation	32,481,317		32,481,317	12.99
	<b>190,305,105</b>	<b>-</b>	<b>190,305,105</b>	<b>76.12</b>

**D. Affiliates**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Emar Corporation	2,728,060		2,728,060	1.09



PHINMA Power Generation Corp.	1,001,042		1,001,042	0.40
Asian Plaza Inc.	91,108		91,108	0.04
	<b>3,820,210</b>	<b>-</b>	<b>3,820,210</b>	<b>1.53</b>

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Phinma Jumbo Retirement Fund Incentive Compensation Program	663		663	0.00
	6,991		6,991	0.00
	<b>7,654</b>	<b>-</b>	<b>7,654</b>	<b>0.00</b>

#### H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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Number of Listed Common Shares	250,000,000
Total Number of Non-Public Shares	195,032,164
Total Number of Shares Owned by the Public	54,967,836
Public Ownership Percentage	21.99

78.01
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#### Other Relevant Information

##### Notes:

- The Indirect shares of Messrs. Ramon R. Del Rosario and Victor . 682,015

##### Shares

- PHINMA Energy indirect shares thru PHINMA Power Gen Corp 1,001,042
- Phinma Inc indirect shares thru Phinma Corp 11,732,252
- Phinma Inc. Indirect shares thru PHINMA Energy 31,976,030
- Phinma Corp indirect shares thru PHINMA Energy 33,282,469
- Phinma Corp indirect shares thru Asian Plaza 52,496