



110102018001913



SECURITIES AND EXCHANGE COMMISSION

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Company Information

SEC Registration No. AS94008811
Company Name PHINMA PETROLEUM AND GEOTHERMAL, INC.
Industry Classification Oil & Gas Extraction Activities On Contract Basis
Company Type Stock Corporation

Document Information

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S.E.C. Registration Number

P H I N M A P E T R O L E U M A N D
 G E O T H E R M A L , I N C .

(Company's Full Name)

L E V E L 1 1 - P H I N M A P L A Z A 3 9 P L A Z A
 D R I V E , R O C K W E L L C E N T E R M A K A T I

(Business Address: No. Street City/Town/Province)

C E C I L L E A R E N I L L O

Contact Person

8 7 0 0 3 8 8

Company Telephone Number

1 2 3 1
 Month Day
 Calendar Year

P U B L I C
 O W N E R S H I P

FORM TYPE

____ ____
 Month Day
 Annual Meeting

Secondary License Type, If Applicable

C F D

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

Remarks = Pls. Use black ink for scanning purposes



October 10, 2018

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex
Roxas Boulevard, Pasay City 1307

Attention: **MS. RACHEL ESTHER J. GUMTANG-REMALANTE**
OIC, Corporate Governance & Finance Department

THE PHILIPPINE STOCK EXCHANGE, INC.

6th Floor, Philippine Stock Exchange Tower
28th Street corner 5th Avenue Bonifacio Global City, Taguig City

Attention: **MS. JANET A. ENCARNACION**
Head, Disclosure Department

Attached herewith is PHINMA Petroleum and Geothermal, Inc.'s Public Ownership Report as of September 30, 2018.

Thank you.

Very truly yours,


CECILLE B. ARENILLO
Compliance Officer

PHINMA Petroleum and Geothermal, Inc.
A Subsidiary of PHINMA Energy

L11 PHINMA Plaza, 39 Plaza Drive, Rockwell Center, Makati City, Philippines 1200
Tel. (632) 870-0100 Fax No. (632) 870-0433

PHINMA Petroleum and Geothermal, Inc.
Public Ownership Report

Report Date	September 30, 2018
Computation of Public Ownership	
Number of Issued and Outstanding Common Shares	250,000,000
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	250,000,000

A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Ramon R. Del Rosario, Jr.	424,155		424,155	0.17
Magdaleno B. Albarracin, Jr.	53		53	0.00
Francisco L. Viray	959		959	0.00
Roberto M. Laviña	1		1	0.00
Raymundo A. Reyes, Jr.	111,230		111,230	0.04
Raphael Perpetuo M. Lotilla	1		1	0.00
Victor J. Del Rosario	92,320		92,320	0.04
Edward S. Go	1		1	0.00
Corazon S. de la Paz Bernardo	1		1	0.00
	628,721	-	628,721	0.25

B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Benjamin S. Austria	30,000		30,000	0.01
Mariejo P. Bautista	1,046		1,046	0.00
Pythagoras L. Brion, Jr.	10,201		10,201	0.01
Troy A. Luna	-		-	0.00
Alan T. Ascalon	1,818		1,818	0.00
Cecille B. Arenillo	2,550		2,550	0.00
Arthur R. Villacorte	858		858	0.00
Daneia Isabelle F. Palad	-		-	0.00
	46,473	-	46,473	0.02

C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
PHINMA Energy Corporation	126,838,677		126,838,677	50.74
Phil. Investment Management (PHINMA), Inc.	30,985,111		30,985,111	12.39
Phinma Corporation	32,481,317		32,481,317	12.99
	190,305,105	-	190,305,105	76.12

D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Emar Corporation	2,732,312		2,732,312	1.09
PHINMA Power Generation Corp.	1,001,042		1,001,042	0.40
Asian Plaza Inc.	91,108		91,108	0.04
	3,824,462	-	3,824,462	1.53

E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Phinma Jumbo Retirement Fund	663		663	0.00
Incentive Compensation Program	6,991		6,991	0.00
	7,654	-	7,654	0.00

H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
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Number of Listed Common Shares	250,000,000
Total Number of Non-Public Shares	194,812,415
Total Number of Shares Owned by the Public	55,187,585
Public Ownership Percentage	22.08

77.92

Other Relevant Information**Notes:**

1. The Indirect shares of Messrs. Ramon R. Del Rosario and Victor 683,078

Shares

2. PHINMA Energy indirect shares thru PHINMA Power Gen Corp 1,001,042