



107112019004230



SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Company Information

SEC Registration No. AS94008811
Company Name PHINMA PETROLEUM AND GEOTHERMAL, INC.
Industry Classification Oil & Gas Extraction Activities On Contract Basis
Company Type Stock Corporation

Document Information

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S.E.C. Registration Number

P H I N M A P E T R O L E U M A N D
 G E O T H E R M A L , I N C .

(Company's Full Name)

L E V E L 1 1 - P H I N M A P L A Z A 3 9 P L A Z A
 D R I V E , R O C K W E L L C E N T E R M A K A T I

(Business Address: No. Street City/Town/Province)

A L A N T . A S C A L O N

Contact Person

8 7 0 0 1 3 8

Company Telephone Number

1 2 3 1
 Month Day
 Calendar Year

L I S T O F
 T O P 1 0 0
 FORM TYPE

____ ____
 Month Day
 Annual Meeting

 Secondary License Type, If Applicable

C F D
 Dept. Requiring this Doc.

 Amended Articles Number/Section

 Total No. of Stockholders

Total Amount of Borrowings

 Domestic

 Foreign

To be accomplished by SEC Personnel concerned

 File Number

 LCU

 Document I. D.

 Cashier

STAMPS

Remarks = Pls. Use black ink for scanning purposes



July 10, 2019

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex
Roxas Boulevard, Pasay City 1307

Attention: **MS. RACHEL ESTHER J. GUMTANG-REMALANTE**
OIC, Corporate Governance & Finance Department

THE PHILIPPINE STOCK EXCHANGE, INC.

6th Floor, Philippine Stock Exchange Tower
28th Street corner 5th Avenue Bonifacio Global City, Taguig City

Attention: **MS. JANET A. ENCARNACION**
Head, Disclosure Department

Attached herewith is PHINMA Petroleum and Geothermal, Inc.'s Top 100 Shareholders with breakdown of PCD nominee as of June 30, 2019.

Very truly yours,


DOBJIE D. LAGAZO
Compliance Officer

PHINMA Petroleum and Geothermal, Inc.
A Subsidiary of PHINMA Energy

L11 PHINMA Plaza, 39 Plaza Drive, Rockwell Center, Makati City, Philippines 1200
Tel. (632) 870-0100 Fax. No. (632) 870-0433

Stock Transfer Service Inc.
PHINMA PETROLEUM AND GEOTHERMAL, INC.
List of Top 100 Stockholders
As of 06/30/2019

Rank	Name	Holdings	Rank
1	PCD NOMINEE CORPORATION (FILIPINO)	245,940,209	98.38%
2	EMAR CORPORATION	950,740	00.38%
3	PCD NOMINEE CORPORATION (NON-FILIPINO)	549,597	00.22%
4	FRANCISCO GENARO OZAMIZ LON	423,300	00.17%
5	ALBERT MENDOZA &/OR JEANNIE MENDOZA	76,193	00.03%
6	PHIL. REMNANTS CO. INC.	71,431	00.03%
7	PETER MAR OR ANNABELLE C. MAR	52,020	00.02%
8	RAMON R. DEL ROSARIO JR.	45,467	00.02%
9	VICTOR JUAN DEL ROSARIO	41,453	00.02%
10	RENATO O. LABASAN	38,760	00.02%
11	TERESITA A. DELA CRUZ	38,306	00.02%
12	BELEK INC.	37,842	00.02%
13	JOSEPH D. ONG	35,640	00.01%
14	WILLIAM HOW &/OR BENITO HOW	34,003	00.01%
15	ALEXANDER J. TANCHAN &/OR DOLORES U. TANCHAN	27,358	00.01%
16	BENJAMIN S. AUSTRIA	26,086	00.01%
17	VICTOR J. DEL ROSARIO OR MA. RITA S. DEL ROSARIO	24,342	00.01%
18	DR. ANITA TY	23,816	00.01%
19	ALBERTO MENDOZA &/OR MARITNESS MENDOZA	21,886	00.01%
20	LIM TAY	20,815	00.01%
21	NOEL L. ESCALER	17,478	00.01%
22	JAMES UY INC. A/C# 11079	17,007	00.01%
23	RELIANCE COMMERCIAL ENTERPRISES INC.	17,007	00.01%
24	IRENE M. GALVEZ	15,777	00.01%
25	MARIANE M. PRATTE	15,777	00.01%
26	CESAR C. ZALAMEA	15,390	00.01%

Stock Transfer Service Inc.
 PHINMA PETROLEUM AND GEOTHERMAL, INC.
 List of Top 100 Stockholders
 As of 06/30/2019

Rank	Name	Holdings	Rank
27	MARY CLAIRE H. MAGSAYSAY	15,063	00.01%
28	RICARDO V. CAMUA	14,371	00.01%
29	EMILY R. VARUA &/OR F.V. VARUA	14,172	00.01%
30	ANTONIO S. TANJANGCO	13,600	00.01%
31	MICHAEL L. ESCALER	13,577	00.01%
32	GONZALO PUYAT & SONS	12,890	00.01%
33	PACIFICO B. TACUB	12,653	00.01%
34	VICENTE A. S. MADRIGAL	12,614	00.01%
35	GERARDO A. S. MADRIGAL	12,614	00.01%
36	ARTURO GUANZON	11,523	00.00%
37	LAIMOR LUZUNO	11,338	00.00%
38	EDMUNDO M. REYES	11,318	00.00%
39	LUIS T. CHUIDIAN	11,008	00.00%
40	ARIC RESOURCES INC.	10,945	00.00%
41	KENSINGTON MANAGEMENT CORPORATION	10,331	00.00%
42	MA. PAZ GODINEZ	9,876	00.00%
43	TANSENGCO & COMPANY INC.	9,755	00.00%
44	JFM DEVELOPMENT CORP.	9,710	00.00%
45	GRACE LEUNG	9,623	00.00%
46	LEONARDO SIGUION REYNA	9,247	00.00%
47	ALBERTO MENDOZA &/OR LAWRENCE MENDOZA	9,119	00.00%
48	FRANCIS ESCALER	8,770	00.00%
49	MARIANO ANG	8,572	00.00%
50	LUCIO YAN	8,503	00.00%
51	YAO E. CHU	8,503	00.00%
52	CHENG BENG LIONG	8,393	00.00%

Stock Transfer Service Inc.
PHINMA PETROLEUM AND GEOTHERMAL, INC.
List of Top 100 Stockholders
As of 06/30/2019

Rank	Name	Holdings	Rank
53	JUAN MIGUEL L. ESCALER MARK L. ESCALER & MARGARITA L. ESCALER	8,355	00.00%
54	JOHN PETER YU &/OR JUAN YU	7,650	00.00%
55	CARIDAD SANCHEZ BABAO	7,553	00.00%
56	JOHN CHAM	7,483	00.00%
57	BENJAMIN CO CA & CO. INC.	7,043	00.00%
58	UY-TIOCO & COMPANY INC. A/C #1418-811-4	6,939	00.00%
59	L. RECIO & COMPANY INC.	6,905	00.00%
60	ANABEL TAN	6,802	00.00%
61	HENRY O. TEE JR.	6,802	00.00%
62	ROSITA KHO	6,375	00.00%
63	RICARDO CU-UNJIENG	6,194	00.00%
64	ARCADIO ABARABAR	6,184	00.00%
65	F. YAP SECURITIES INC.	5,961	00.00%
66	RICO W. ERMINO	5,889	00.00%
67	CHAN LAI MING	5,710	00.00%
68	LUMEN TIAOQUI	5,610	00.00%
69	ROBERT C. YAO FOUNDATION	5,566	00.00%
70	RAYMUNDO A. QUIROZ	5,471	00.00%
71	POTENCIANO LARRAZABAL JR.	5,471	00.00%
72	EDGAR A. RAGASA &/OR DORIS R. VILLARUZ &/OR TEODORA A. RAGASA	5,442	00.00%
73	DELFIN L. GO	5,263	00.00%
74	EBC SECURITIES CORP.	5,225	00.00%
75	JAYME JALANDONI ADAMS & CO. INC.	5,209	00.00%
76	JUANITA R. ROCO	5,203	00.00%
77	MARIO NG	5,163	00.00%
78	SALLY KHO	5,161	00.00%

Stock Transfer Service Inc.
PHINMA PETROLEUM AND GEOTHERMAL, INC.
List of Top 100 Stockholders
As of 06/30/2019

Page No.

4

Rank	Name	Holdings	Rank
79	BETTY U. COO	5,132	00.00%
80	ALEXANDER TANTUCO	5,106	00.00%
81	GEORGE YANG	5,102	00.00%
82	REBECCA G. FERNANDO	5,102	00.00%
83	WILLIAM NG BON TIANG	5,102	00.00%
84	PYTHAGORAS L. BRION JR.	5,101	00.00%
85	JUAN YU &/OR GRACE YU	5,100	00.00%
86	JOSE MARI M. MIRANDA &/OR NOLA HAPPY O. MIRANDA	4,992	00.00%
87	LYBEN REALTY & DEV'T. CORP	4,592	00.00%
88	PETER ONG	4,559	00.00%
89	EDBERT GO TANTUCO	4,396	00.00%
90	BRISOT ECONOMIC DEV. CORP.	4,377	00.00%
91	ANTONIO K. GOCOLAY	4,377	00.00%
92	SEVERO A TUASON & CO. INC.	4,365	00.00%
93	UY VICTOR	4,359	00.00%
94	JAMES UY INCORPORATED	4,286	00.00%
95	TAN WILLY C.	4,251	00.00%
96	TANCO ALICE K	4,251	00.00%
97	VY ALFONSO	4,251	00.00%
98	VY SON TAN	4,251	00.00%
99	LUCIO W. YAN &/OR CLARA Y. YAN	4,251	00.00%
100	IRWIN R. SALVADOR	4,198	00.00%

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Stock Transfer Service Inc.
PHINMA PETROLEUM AND GEOTHERMAL, INC.
List of Top 100 Stockholders
As of 06/30/2019

Rank	Name	Holdings	Rank
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Total Top 100 Shareholders :	249,127,918	99.65%
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Total outstanding shares	250,000,000
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OUTSTANDING BALANCES FOR A SPECIFIC COMPANY
Company Code - PPG00000000 - PHINMA PETROLEUM AND GEOTHERMAL INC

Business Date: June 28, 2019

BP NAME	HOLDING
UPCC SECURITIES CORP.	15,961
A & A SECURITIES, INC.	623,046
ABACUS SECURITIES CORPORATION	6,455,943
PHILSTOCKS FINANCIAL INC	1,925,585
A. T. DE CASTRO SECURITIES CORP.	36,750
ALPHA SECURITIES CORP.	2,263
BA SECURITIES, INC.	24,761
AP SECURITIES INCORPORATED	758,842
ANSALDO, GODINEZ & CO., INC.	300,364
AB CAPITAL SECURITIES, INC.	130,793,448
SB EQUITIES, INC.	474,786
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	7,651
ASIASEC EQUITIES, INC.	16,821
ASTRA SECURITIES CORPORATION	912,192
CHINA BANK SECURITIES CORPORATION	1,625
BELSON SECURITIES, INC.	375,875
BENJAMIN CO CA & CO., INC.	135,577
B. H. CHUA SECURITIES CORPORATION	25
JAKA SECURITIES CORP.	18,170
BPI SECURITIES CORPORATION	64,897,485
CAMPOS, LANUZA & COMPANY, INC.	207,125
SINCERE SECURITIES CORPORATION	108,000
CENTURY SECURITIES CORPORATION	6,505
BDO NOMURA SECURITIES INC	116,301
CITISECURITIES, INC.	308,077
VSEC.COM, INC.	255
TRITON SECURITIES CORP.	278,152
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	501
IGC SECURITIES INC.	187,880
CUALOPING SECURITIES CORPORATION	12,070
DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	4,100
DAVID GO SECURITIES CORP.	551,482
DIVERSIFIED SECURITIES, INC.	220,333
E. CHUA CHIACO SECURITIES, INC.	526,022
EQUITABLE SECURIITES (PHILS.) INC.	482
EAST WEST CAPITAL CORPORATION	201
EASTERN SECURITIES DEVELOPMENT CORPORATION	301,523
EQUITIWORLD SECURITIES, INC.	29,175
EVERGREEN STOCK BROKERAGE & SEC., INC.	1,506,960
FIRST ORIENT SECURITIES, INC.	1,811
FIRST INTEGRATED CAPITAL SECURITIES, INC.	478,712
F. YAP SECURITIES, INC.	182,403
AURORA SECURITIES, INC.	37,475
GLOBALINKS SECURITIES & STOCKS, INC.	346,131

JSG SECURITIES, INC.	46,656
GOLDSTAR SECURITIES, INC.	7,785
GUILD SECURITIES, INC.	86,342
HDI SECURITIES, INC.	50,515
H. E. BENNETT SECURITIES, INC.	78,860
HK SECURITIES, INC.	17
I. ACKERMAN & CO., INC.	34,432
I. B. GIMENEZ SECURITIES, INC.	693,809
INVESTORS SECURITIES, INC,	146,390
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	209,735
INTRA-INVEST SECURITIES, INC.	17,004
ASIAN CAPITAL EQUITIES, INC.	4,852
J.M. BARCELON & CO., INC.	3,442
VALUE QUEST SECURITIES CORPORATION	28,100
STRATEGIC EQUITIES CORP.	61,722
LARRGO SECURITIES CO., INC.	529
LOPEZ, LOCSIN, LEDESMA & CO., INC.	39,907
LUCKY SECURITIES, INC.	131,661
LUYS SECURITIES COMPANY, INC.	614,203
MANDARIN SECURITIES CORPORATION	709,281
COL Financial Group, Inc.	3,457,685
DA MARKET SECURITIES, INC.	2,130,190
MERCANTILE SECURITIES CORP.	523,540
MERIDIAN SECURITIES, INC.	30,290
MDR SECURITIES, INC.	623,660
DEUTSCHE REGIS PARTNERS, INC.	4,457
MOUNT PEAK SECURITIES, INC.	18,936
NEW WORLD SECURITIES CO., INC.	79,997
NIEVES SECURITIES, INC.	1,510
OPTIMUM SECURITIES CORPORATION	248,820
RCBC SECURITIES, INC.	189,361
PAN ASIA SECURITIES CORP.	117,591
PAPA SECURITIES CORPORATION	1,663,574
MAYBANK ATR KIM ENG SECURITIES, INC.	751,353
PNB SECURITIES, INC.	74,664
PREMIUM SECURITIES, INC.	37,798
PRYCE SECURITIES, INC.	128
SALISBURY BKT SECURITIES CORPORATION	4,912
QUALITY INVESTMENTS & SECURITIES CORPORATION	962,065
R & L INVESTMENTS, INC.	51,041
ALAKOR SECURITIES CORPORATION	518,841
R. COYIUTO SECURITIES, INC.	675,850
REGINA CAPITAL DEVELOPMENT CORPORATION	151,131
R. NUBLA SECURITIES, INC.	123,307
AAA SOUTHEAST EQUITIES, INCORPORATED	14,458
R. S. LIM & CO., INC.	616,148
RTG & COMPANY, INC.	71,287
S.J. ROXAS & CO., INC.	790,586
SECURITIES SPECIALISTS, INC.	2,527
FIDELITY SECURITIES, INC.	19,712

SUMMIT SECURITIES, INC.	12,756
STANDARD SECURITIES CORPORATION	176,428
SUPREME STOCKBROKERS, INC	467
TANSENGCO & CO., INC.	1,189,686
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	3,857
TOWER SECURITIES, INC.	4,420,656
TRENDLINE SECURITIES CORPORATION	1,639
TRI-STATE SECURITIES, INC.	157,488
UCPB SECURITIES, INC.	1,406,504
E.SECURITIES, INC.	2,366
VENTURE SECURITIES, INC.	50,610
FIRST METRO SECURITIES BROKERAGE CORP.	3,789,751
WEALTH SECURITIES, INC.	1,989,701
WESTLINK GLOBAL EQUITIES, INC.	108,915
BERNAD SECURITIES, INC.	132,269
WONG SECURITIES CORPORATION	35,527
YAO & ZIALCITA, INC.	34,400
YU & COMPANY, INC.	252,064
BDO SECURITIES CORPORATION	764,539
EAGLE EQUITIES, INC.	35,310
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	14,045
SOLAR SECURITIES, INC.	168,586
G.D. TAN & COMPANY, INC.	281,333
PHILIPPINE EQUITY PARTNERS, INC.	120,028
UNICAPITAL SECURITIES INC.	259,152
SunSecurities, Inc.	892
ARMSTRONG SECURITIES, INC.	830
KING'S POWER SECURITIES, INC.	2,550
TIMSON SECURITIES, INC.	186,462
STAR ALLIANCE SECURITIES CORP.	50,000
CITIBANK N.A.	252,004
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	2,175
UCPB GENERAL INSURANCE CO., INC.	400,700
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	76,500
TRANS-ASIA OIL & MINERAL	3
TRANS-ASIA PETROLEUM CORPORATION	106
TOTAL	246,489,806