



1579065546005



SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines
 Tel:(632) 818-0921 Fax:(632) 818-5293 Email: mis@sec.gov.ph

Barcode Page

The following document has been received:

Receiving Mary Irish O. De Castro
Receiving Branch: SEC Head Office
Receipt Date and Time: January 15, 2020 01:17:04 PM
Received From: Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. AS94008811
Company Name PHINMA PETROLEUM AND GEOTHERMAL, INC.
Industry Classification OIL & GAS EXTRACTION ACTIVITIES ON CONTRACT BASIS
Company Type Stock Corporation

Document Information

Document ID 1579065546005
Document Type 17-C (FORM 11-C:CURRENT DISCL/RPT)
Document Code 17-C
Period Covered December 31, 2019
No. of Days Late
Department
Remarks LIST OF TOP 100 STOCKHOLDERS

COVER SHEET

0 9 4 - 0 0 8 8 1 1

S.E.C. Registration Number

A C E E N E X O R , I N C .
 F o r m e r l y P H I N M A P E T R O L E U M A N D
 G E O T H E R M A L , I N C .

(Company's Full Name)

4 T H F L O O R , 6 7 5 0 O F F I C E T O W E R
 A Y A L A A V E N U E , M A K A T I C I T Y

(Business Address: No. Street City/Town/Province)

A L A N A S C A L O N

Contact Person

7 7 3 0 6 3 0 0

Company Telephone Number

1 2 3 1
 Month Day
 Calendar Year

L I S T O F
 T O P 1 0 0
 FORM TYPE

Month Day
 Annual Meeting

Secondary License Type, If Applicable

C F D
 Dept. Requiring this Doc.

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number _____, LCU

Document I. D. _____
 Cashier

STAMPS

Remarks = Pls. Use black ink for scanning purposes

10 January 2020

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex
Roxas Boulevard, Pasay City 1307

Attention: **MS. RACHEL ESTHER J. GUMTANG-REMALANTE**
OIC, Corporate Governance & Finance Department

THE PHILIPPINE STOCK EXCHANGE, INC.

6th Floor, Philippine Stock Exchange Tower
28th Street corner 5th Avenue Bonifacio Global City, Taguig City

Attention: **MS. JANET A. ENCARNACION**
Head, Disclosure Department

Ladies and Gentlemen:

Please see attached the Corporation's Top 100 Shareholders with breakdown of PCD nominee as of 31 December 2019.

Very truly yours,


ALAN T. ASCALON 
Assistant Corporate Secretary

Stock Transfer Service Inc.
 ACE ENEXOR, INC.
 List of Top 100 Stockholders
 As of 12/31/2019

Rank	Name	Holdings	Rank
1	PCD NOMINEE CORPORATION (FILIPINO)	246,012,823	98.41%
2	PCD NOMINEE CORPORATION (NON-FILIPINO)	990,954	00.40%
3	EMAR CORPORATION	950,740	00.38%
4	ALBERT MENDOZA &/OR JEANNIE MENDOZA	76,193	00.03%
5	PHIL. REMNANTS CO. INC.	71,431	00.03%
6	PETER MAR OR ANNABELLE C. MAR	52,020	00.02%
7	VICTOR JUAN DEL ROSARIO	41,453	00.02%
8	TERESITA A. DELA CRUZ	38,306	00.02%
9	BELEK INC.	37,842	00.02%
10	JOSEPH D. ONG	35,640	00.01%
11	WILLIAM HOW &/OR BENITO HOW	34,003	00.01%
12	ALEXANDER J. TANCHAN &/OR DOLORES U. TANCHAN	27,358	00.01%
13	BENJAMIN S. AUSTRIA	26,086	00.01%
14	VICTOR J. DEL ROSARIO OR MA. RITA S. DEL ROSARIO	24,342	00.01%
15	DR. ANITA TY	23,816	00.01%
16	ALBERTO MENDOZA &/OR MARITESS MENDOZA	21,886	00.01%
17	LIM TAY	20,815	00.01%
18	NOEL L. ESCALER	17,478	00.01%
19	JAMES UY INC. A/C# 11079	17,007	00.01%
20	RELIANCE COMMERCIAL ENTERPRISES INC.	17,007	00.01%
21	IRENE M. GALVEZ	15,777	00.01%
22	MARIANE M. PRATTE	15,777	00.01%
23	CESAR C. ZALAMEA	15,390	00.01%
24	MARY CLAIRE H. MAGSAYSAY	15,063	00.01%
25	RICARDO V. CAMUA	14,371	00.01%
26	EMILY R. VARUA &/OR F.V. VARUA	14,172	00.01%

Stock Transfer Service Inc.
 ACE ENEXOR, INC.
 List of Top 100 Stockholders
 As of 12/31/2019

Rank	Name	Holdings	Rank
27	ANTONIO S. TANJANGCO	13,600	00.01%
28	MICHAEL L. ESCALER	13,577	00.01%
29	GONZALO PUYAT & SONS	12,890	00.01%
30	PACIFICO B. TACUB	12,653	00.01%
31	VICENTE A. S. MADRIGAL	12,614	00.01%
32	GERARDO A. S. MADRIGAL	12,614	00.01%
33	ARTURO GUANZON	11,523	00.00%
34	LAIMOR LUZUNO	11,338	00.00%
35	EDMUNDO M. REYES	11,318	00.00%
36	LUIS T. CHUIDIAN	11,008	00.00%
37	ARIC RESOURCES INC.	10,945	00.00%
38	KENSINGTON MANAGEMENT CORPORATION	10,331	00.00%
39	MA. PAZ GODINEZ	9,876	00.00%
40	TANSENGCO & COMPANY INC.	9,755	00.00%
41	JFM DEVELOPMENT CORP.	9,710	00.00%
42	GRACE LEUNG	9,623	00.00%
43	LEONARDO SIGUION REYNA	9,247	00.00%
44	ALBERTO MENDOZA &/OR LAWRENCE MENDOZA	9,119	00.00%
45	FRANCIS ESCALER	8,770	00.00%
46	MARIANO ANG	8,572	00.00%
47	LUCIO YAN	8,503	00.00%
48	YAO E. CHU	8,503	00.00%
49	CHENG BENG LIONG	8,393	00.00%
50	JUAN MIGUEL L. ESCALER MARK L. ESCALER & MARGARITA L. ESCALER	8,355	00.00%
51	JOHN PETER YU &/OR JUAN YU	7,650	00.00%
52	CARIDAD SANCHEZ BABAO	7,553	00.00%

Stock Transfer Service Inc.
 ACE ENEXOR, INC.
 List of Top 100 Stockholders
 As of 12/31/2019

Rank	Name	Holdings	Rank
53	JOHN CHAM	7,483	00.00%
54	BENJAMIN CO CA & CO. INC.	7,043	00.00%
55	UY-TIOCO & COMPANY INC. A/C #1418-811-4	6,939	00.00%
56	L. RECIO & COMPANY INC.	6,905	00.00%
57	ANABEL TAN	6,802	00.00%
58	HENRY O. TEE JR.	6,802	00.00%
59	ROSITA KHO	6,375	00.00%
60	RICARDO CU-UNJIENG	6,194	00.00%
61	ARCADIO ABARABAR	6,184	00.00%
62	F. YAP SECURITIES INC.	5,961	00.00%
63	RICO W. ERMINO	5,889	00.00%
64	CHAN LAI MING	5,710	00.00%
65	LUMEN TIAOQUI	5,610	00.00%
66	ROBERT C. YAO FOUNDATION	5,566	00.00%
67	POTENCIANO LARRAZABAL JR.	5,471	00.00%
68	RAYMUNDO A. QUIROZ	5,471	00.00%
69	EDGAR A. RAGASA &/OR DORIS R. VILLARUZ &/OR TEODORA A. RAGASA	5,442	00.00%
70	DELFIN L. GO	5,263	00.00%
71	EBC SECURITIES CORP.	5,225	00.00%
72	JAYME JALANDONI ADAMS & CO. INC.	5,209	00.00%
73	JUANITA R. ROCO	5,203	00.00%
74	MARIO NG	5,163	00.00%
75	SALLY KHO	5,161	00.00%
76	BETTY U. COO	5,132	00.00%
77	ALEXANDER TANTUCO	5,106	00.00%
78	GEORGE YANG	5,102	00.00%

Stock Transfer Service Inc.
 ACE ENEXOR, INC.
 List of Top 100 Stockholders
 As of 12/31/2019

Rank	Name	Holdings	Rank
79	REBECCA G. FERNANDO	5,102	00.00%
80	WILLIAM NG BON TIANG	5,102	00.00%
81	JUAN YU &/OR GRACE YU	5,100	00.00%
82	JOSE MARI M. MIRANDA &/OR NOLA HAPPY O. MIRANDA	4,992	00.00%
83	LYBEN REALTY & DEV'T. CORP	4,592	00.00%
84	PETER ONG	4,559	00.00%
85	EDBERT GO TANTUCO	4,396	00.00%
86	BRISOT ECONOMIC DEV. CORP.	4,377	00.00%
87	ANTONIO K. GOCOLAY	4,377	00.00%
88	SEVERO A TUASON & CO. INC.	4,365	00.00%
89	UY VICTOR	4,359	00.00%
90	JAMES UY INCORPORATED	4,286	00.00%
91	TAN WILLY C.	4,251	00.00%
92	TANCO ALICE K	4,251	00.00%
93	VY ALFONSO	4,251	00.00%
94	VY SON TAN	4,251	00.00%
95	LUCIO W. YAN &/OR CLARA Y. YAN	4,251	00.00%
96	IRWIN R. SALVADOR	4,198	00.00%
97	YAO SUSAN	4,081	00.00%
98	ONG CHEN CHEN	4,081	00.00%
99	DAVID GO SECURITIES CORP.	3,955	00.00%
100	ALBERTO MENDOZA	3,923	00.00%

Stock Transfer Service Inc.
ACE ENEXOR, INC.
List of Top 100 Stockholders
As of 12/31/2019

Rank	Name	Holdings	Rank
------	------	----------	------

Total Top 100 Shareholders :	249,145,301	99.65%
------------------------------	-------------	--------

Total Outstanding Shares	250,000,001
--------------------------	-------------

OUTSTANDING BALANCES FOR A SPECIFIC COMPANY

Company Code - ACEX00000000

Business Date: December 27, 2019

BPNAME	HOLDINGS
UPCC SECURITIES CORP.	12,961
A & A SECURITIES, INC.	1,005,137
ABACUS SECURITIES CORPORATION	1,921,405
PHILSTOCKS FINANCIAL INC	763,448
A. T. DE CASTRO SECURITIES CORP.	46,895
ALPHA SECURITIES CORP.	1,352
BA SECURITIES, INC.	6,761
AP SECURITIES INCORPORATED	755,467
ANSALDO, GODINEZ & CO., INC.	219,453
AB CAPITAL SECURITIES, INC.	130,620,505
SB EQUITIES, INC.	468,903
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	6,886
ASIASEC EQUITIES, INC.	111,821
ASTRA SECURITIES CORPORATION	1,092,242
CHINA BANK SECURITIES CORPORATION	12,997
BELSON SECURITIES, INC.	742,175
BENJAMIN CO CA & CO., INC.	169,777
B. H. CHUA SECURITIES CORPORATION	25
JAKA SECURITIES CORP.	18,170
BPI SECURITIES CORPORATION	64,916,043
CAMPOS, LANUZA & COMPANY, INC.	209,064
SINCERE SECURITIES CORPORATION	148,500
CENTURY SECURITIES CORPORATION	6,505
BDO NOMURA SECURITIES INC	1,147,801
CTS GLOBAL EQUITY GROUP, INC.	711,077
VSEC.COM, INC.	255
TRITON SECURITIES CORP.	394,752
PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	501
IGC SECURITIES INC.	184,144
CUALOPING SECURITIES CORPORATION	12,070
DAVID GO SECURITIES CORP.	442,226
DIVERSIFIED SECURITIES, INC.	9,372
E. CHUA CHIACO SECURITIES, INC.	503,659
EQUITABLE SECURITIES (PHILS.) INC.	482
EAST WEST CAPITAL CORPORATION	201
EASTERN SECURITIES DEVELOPMENT CORPORATION	426,596
EQUITIWORLD SECURITIES, INC.	10,775
EVERGREEN STOCK BROKERAGE & SEC., INC.	906,560
FIRST ORIENT SECURITIES, INC.	1,811
FIRST INTEGRATED CAPITAL SECURITIES, INC.	478,712
F. YAP SECURITIES, INC.	95,853
AURORA SECURITIES, INC.	23,433
GLOBALINKS SECURITIES & STOCKS, INC.	218,731
JSG SECURITIES, INC.	46,656
GOLDSTAR SECURITIES, INC.	17,785
GUILD SECURITIES, INC.	70,715
HDI SECURITIES, INC.	676
H. E. BENNETT SECURITIES, INC.	20,063
HK SECURITIES, INC.	17
I. ACKERMAN & CO., INC.	34,432
I. B. GIMENEZ SECURITIES, INC.	773,809
INVESTORS SECURITIES, INC.	145,390

BPNAME	HOLDINGS
IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	79,735
INTRA-INVEST SECURITIES, INC.	16,275
ASIAN CAPITAL EQUITIES, INC.	4,852
J.M. BARCELON & CO., INC.	12,942
VALUE QUEST SECURITIES CORPORATION	68,100
STRATEGIC EQUITIES CORP.	911,597
LARRGO SECURITIES CO., INC.	529
LOPEZ, LOCSIN, LEDESMA & CO., INC.	39,652
LUCKY SECURITIES, INC.	100,661
LUYS SECURITIES COMPANY, INC.	424,203
MANDARIN SECURITIES CORPORATION	3,225,754
COL Financial Group, Inc.	6,975,755
DA MARKET SECURITIES, INC.	2,116,690
MERCANTILE SECURITIES CORP.	342,540
MERIDIAN SECURITIES, INC.	29,960
MDR SECURITIES, INC.	536,557
DEUTSCHE REGIS PARTNERS, INC.	4,457
MOUNT PEAK SECURITIES, INC.	88,936
NEW WORLD SECURITIES CO., INC.	70,725
OPTIMUM SECURITIES CORPORATION	98,220
RCBC SECURITIES, INC.	155,529
PAN ASIA SECURITIES CORP.	26,591
PAPA SECURITIES CORPORATION	289,852
MAYBANK ATR KIM ENG SECURITIES, INC.	1,379,551
PNB SECURITIES, INC.	169,855
PREMIUM SECURITIES, INC.	32,698
PRYCE SECURITIES, INC.	128
SALISBURY BKT SECURITIES CORPORATION	4,912
QUALITY INVESTMENTS & SECURITIES CORPORATION	745,990
R & L INVESTMENTS, INC.	10,204
ALAKOR SECURITIES CORPORATION	533,841
R. COYIUTO SECURITIES, INC.	225,691
REGINA CAPITAL DEVELOPMENT CORPORATION	110,425
R. NUBLA SECURITIES, INC.	120,980
AAA SOUTHEAST EQUITIES, INCORPORATED	105,658
R. S. LIM & CO., INC.	102,148
RTG & COMPANY, INC.	79,287
S.J. ROXAS & CO., INC.	750,969
SECURITIES SPECIALISTS, INC.	48,027
FIDELITY SECURITIES, INC.	38,112
SUMMIT SECURITIES, INC.	53,756
STANDARD SECURITIES CORPORATION	237,018
SUPREME STOCKBROKERS, INC	467
TANSENGCO & CO., INC.	1,104,686
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	3,857
TOWER SECURITIES, INC.	4,813,379
TRENDLINE SECURITIES CORPORATION	1,639
TRI-STATE SECURITIES, INC.	157,488
UCPB SECURITIES, INC.	1,554,149
E.SECURITIES, INC.	2,366
VENTURE SECURITIES, INC.	50,610
FIRST METRO SECURITIES BROKERAGE CORP.	4,031,947
WEALTH SECURITIES, INC.	692,812
WESTLINK GLOBAL EQUITIES, INC.	874,015
BERNAD SECURITIES, INC.	42,269
WONG SECURITIES CORPORATION	11,985
YAO & ZIALCITA, INC.	22,906
YU & COMPANY, INC.	244,343

BPNAME	HOLDINGS
BDO SECURITIES CORPORATION	341,539
EAGLE EQUITIES, INC.	40,310
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	14,045
SOLAR SECURITIES, INC.	129,581
G.D. TAN & COMPANY, INC.	135,517
PHILIPPINE EQUITY PARTNERS, INC.	68,528
UNICAPITAL SECURITIES INC.	129,309
SunSecurities, Inc.	630,092
ARMSTRONG SECURITIES, INC.	830
KING'S POWER SECURITIES, INC.	2,550
TIMSON SECURITIES, INC.	825,162
CITIBANK N.A.	356,004
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	2,175
AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.	76,500
STANDARD CHARTERED BANK	338,200
TRANS-ASIA OIL & MINERAL	3
TRANS-ASIA PETROLEUM CORPORATION	106
TOTAL	247,003,777

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.